



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/759,806	01/12/2001	David R. Shafer	(Z) 00004 P US

CONFIRMATION NO. 2729

## FORMALITIES LETTER



\*OC000000005807394\*

M. Robert Kestenbaum  
11011 Bermuda Dunes NE  
Albuquerque, NM 87111

Date Mailed: 02/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

*Melba T. B. B. B.*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/12/2001 MBERHE 00000015 09759806

01 FC:105

130.00 OP

Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)

Docket No. (3)  
(Z) 00004 P US

In Re Application Of: Shafer

APR 06 2001

Serial No.  
09/759,806

Filing Date  
01/12/01

Examiner

Group Art Unit

Invention: MICROLITHOGRAPHIC REDUCTION PROJECTION CATADIOPTRIC OBJECTIVE

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

02/27/01  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Information Disclosure Statement with copy of cited reference

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
(Z) 00004 P US

In Re Application Of: Shafer

APR 06 2001

Serial No.  
09/759,806

Filing Date  
01/12/01

Examiner

Group Art Unit

Invention: **MICROLITHOGRAPHIC REDUCTION PROJECTION CATADIOPTRIC OBJECTIVE**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims =

☐ Total number of claims =

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

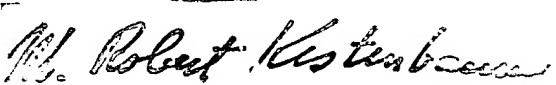
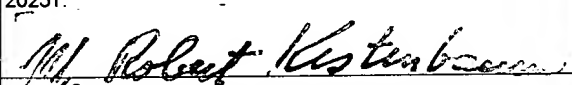
☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees

Total fees due **\$130.00**

(3)

<b>Response To Notice To File Missing Parts Of Application</b> <b>Filing Date Granted (PTO-4533) (Large Entity)</b>		<b>Docket No.</b> <b>(Z) 00004 P US</b>	
In Re Application Of: Shafer			
Serial No. 09/759,806	Filing Date 01/12/01	Examiner	Group Art Unit
Invention: MICROLITHOGRAPHIC REDUCTION PROJECTION CATADIOPTRIC OBJECTIVE			
<p style="text-align: center;"><u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u> <u>Box Missing Parts</u></p> <p>The fee of \$130.00 is to be paid as follows:</p> <p><input checked="" type="checkbox"/> <del>PTO-2038</del> in the amount of the fee is enclosed. The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 11-0665 A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 11-0665 A duplicate copy of this sheet is enclosed.</p> <p> Signature</p> <p>Dated: March 30, 2001</p> <p>M. Robert Kestenbaum Reg. No. 20,430 11011 Bermuda Dunes NE Albuquerque, NM USA 87111 Phone 505-323-0771 Facsimile 505-323-0865</p> <div><p>I certify that this document and fee is being deposited on 03/30/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p><p> Signature of Person Mailing Correspondence</p><p>M. Robert Kestenbaum Typed or Printed Name of Person Mailing Correspondence</p></div> <p>CC:</p>			